



FIS92000 0245US

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

(S)

FEBRUARY 12, 2001

PTAS

SCULLY, SCOTT, MURPHY & PRESSER
RICHARD L. CATANIA
400 GARDEN CITY PLAZA
GARDEN CITY, NEW YORK 11530



101544712A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/03/2000

REEL/FRAME: 011303/0374
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KUDELKA, STEPHAN

DOC DATE: 11/01/2000

ASSIGNEE:

INFINEON TECHNOLOGIES NORTH
AMERICA CORP.
1730 NORTH FIRST STREET
SAN JOSE, CALIFORNIA 95112

SERIAL NUMBER: 09706468
PATENT NUMBER:

FILING DATE: 11/03/2000
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Docket No.: FIS920000245US1(13843)

12-08-2000

R SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

LY

FORM PTO-1595 (Modified)
(Rev. 8-93)
OMB No. 0851-0011 (rev. 4/94)
Copyright 1998-07 LegalStar
POSAREV02



101544712

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephan Kudelka

LMD 11/3/00

Additional names(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 11-01-00

2. Name and address of receiving party(ies):

Name: Infineon Technologies North America Corp.

Address: 1730 North First Street

City: San Jose

State/Prov.: CA

Country: USA

ZIP: 95112

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 11-01-00

Patent Application No.

Filing date

B. Patent No(s): EK602286599US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS NOT
DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS
MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF
PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231
APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF
DEPOSIT AS THE FILE DATE.

DATE OF DEPOSIT: 11/3/00

BY SIGNATURE & DATE: [Signature] 11/3/00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Catania

Registration No. 32,608

Address: Scully, Scott, Murphy & Presser

400 Garden City Plaza

(516)742-4343

City: Garden City

State/Prov.: New York

Country: U.S.A.

ZIP: 11530

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458/IBM FI-516

2/07/2000 RAHHE1 00000171 090458 09706468

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Todd M.C. Li

Signature

3

Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

IBM Docket No. FIS920000245US1
SSM&P Docket No.13843

ASSIGNMENT

For good and valuable consideration, I Stephan Kudelka, residing at, 363 Van Wyck Lake Road, Fishkill, New York 12524 a citizen of Germany,

Hereby sell, assign and transfer to Infineon Technologies North America Corp., a corporation organized and existing under the laws of the state of Delaware, having its principal place of business at 1730 North First Street, San Jose, California 95112, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letter Patent, which has been executed by the undersigned concurrently herewith, and is entitled:

METHOD FOR HYBRID DRAM CELL UTILIZING CONFINED STRAP ISOLATION

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent application on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

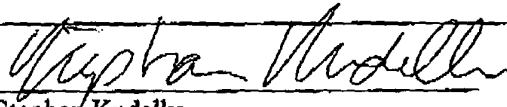
IBM Docket No. FIS920000245US1

SSM&P Docket No.13843

ASSIGNMENT

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(1) Signed at

East Fishkill,
NY
Stephan Kudelka11/1/00
Date

FORM PTO-1565 (Modified)
(Rev. 6-93)
OMB No. 0851-0011 (exp. 4/94)
Copyright 1999-97 LegalStar
F05A/REV02

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jack A. Mandelman
Ramachandra Divakaruni
Carl J. Radens

Additional names(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 10-27-00, 10-31-00, 10-27-00

2. Name and address of receiving party(ies):

Name: International Business Machines CorporationAddress: New Orchard RoadCity: Garden City State/Prov.: New YorkCountry: U.S.A. ZIP: 10504Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 10-27-00

Patent Application No. Filing date

B. Patent No.(s)

EXPRESS MAIL NO.: EK 602286 599 US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS
MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF
PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231
APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF
DEPOSIT AS THE FILE DATE.

Additional numbers attached? ☐ Yes ☒ NoDATE OF DEPOSIT: 11/3/00BY SIGNATURE & DATE: Todd M. C. Li

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. CataniaRegistration No. 32,608Address: Scully, Scott, Murphy & Presser400 Garden City Plaza(516)742-4343City: Garden City State/Prov.: New YorkCountry: U.S.A. ZIP: 115306. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458/IBM FI-516

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Todd M.C. Li

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

(IBM Doc No.: FIS920000245US1)
SSM&P Docket No.:13843

ASSIGNMENT

Whereas, we

Inventor and City	(1) Jack A. Mandelman County of Dutchess	City of Stormville and State of New York
and	(2) Ramachandra Divakaruni County of Westchester	City of Somers and State of New York
and	(3) Carl J. Radens County of Dutchess	City of LaGrangeville and State of New York

have invented certain improvements in **METHOD FOR HYBRID DRAM CELL
UTILIZING CONFINED STRAP ISOLATION**

Dates That
Inventors
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on

(1) Oct 27, 2000, and (2) Oct 31, 2000, and
(3) Oct 27, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City	(1) Signed at E. FISHKILL, NY	
Date	on Oct 27, 2000	<u>Jack A. Mandelman</u> signature (Jack A. Mandelman) inventor First Name Middle Initial Last Name
City	(2) Signed at E. FISHKILL, NY	
Date	on Oct. 31, 2000	<u>Ramachandra Divakaruni</u> signature (Ramachandra Divakaruni) inventor First Name Middle Initial Last Name
City	(3) Signed at East Fishkill, NY	
Date	on Oct 27, 2000	<u>Carl J. Radens</u> signature (Carl J. Radens) inventor First Name Middle Initial Last Name